

U.S. Department of Justice

Washington, DC 20530

**Supplemental Statement****Pursuant to the Foreign Agents Registration Act of 1938, as amended**For 6 Month Period Ending 30 April 2020

(Insert date)

**I - REGISTRANT**

1. (a) Name of Registrant

(b) Registration Number

Independent Diplomat, Inc

5860

(c) Primary Business Address

Independent Diplomat  
246 Fifth Avenue  
New York, NY 10001

2. Has there been a change in the information previously furnished in connection with the following?

(a) If an individual:

(1) Residence address(es) Yes ☐ No ☐(2) Citizenship Yes ☐ No ☐(3) Occupation Yes ☐ No ☐

(b) If an organization:

(1) Name Yes ☐ No ☒(2) Ownership or control Yes ☐ No ☒(3) Branch offices Yes ☐ No ☒

(c) Explain fully all changes, if any, indicated in Items (a) and (b) above.

**IF THE REGISTRANT IS AN INDIVIDUAL, OMIT RESPONSES TO ITEMS 3, 4, 5, AND 6.**3. If the registrant previously filed an Exhibit C<sup>1</sup>, state whether any changes therein have occurred during this 6 month reporting period. Yes ☐ No ☐If yes, has the registrant filed an updated Exhibit C? Yes ☐ No ☒

If no, please file the updated Exhibit C.

<sup>1</sup> The Exhibit C, for which no printed form is provided, consists of a true copy of the charter, articles of incorporation, association, and by laws of a registrant that is an organization. (A waiver of the requirement to file an Exhibit C may be obtained for good cause upon written application to the Assistant Attorney General, National Security Division, U.S. Department of Justice, Washington, DC 20530.)

## 4. (a) Have any persons become partners, officers, directors or similar officials during this 6 month reporting period?

Yes ☒ No ☐

If yes, furnish the following information:

Name	Residence Address	Citizenship	Position	Date Assumed
Susanna P Emmet		US	Director	Nov 13 2020
Joseph Rinaldi		US/Aus	Director	Apr 23 2020

## (b) Have any persons ceased acting as partners, officers, directors or similar officials of the registrant during this 6 month reporting period?

Yes ☒ No ☐

If yes, furnish the following information:

Name	Position	Date Ceased
Victor Navasky	Director	Apr 23 2020

## 5. (a) Has any person named in Item 4(a) rendered services directly in furtherance of the interests of any foreign principal?

Yes ☐ No ☒

If yes, identify each such person and describe the service rendered.

Name	Foreign Principal(s) Represented	Services

(b) During this 6 month reporting period, has the registrant hired as employees or in any other capacity, any persons who rendered or will render services to the registrant directly in furtherance of the interests of any foreign principal(s) in other than a clerical or secretarial, or in a related or similar capacity? Yes ☐ No ☒

Name	Residence Address	Citizenship	Position	Date Assumed

(c) Have any employees or individuals, who have filed a short form registration statement, terminated their employment or connection with the registrant during this 6 month reporting period? Yes ☐ No ☒

If yes, furnish the following information:

Name	Position or Connection	Date Terminated

(d) Have any employees or individuals, who have filed a short form registration statement, terminated their connection with any foreign principal during this 6 month reporting period? Yes ☐ No ☒

If yes, furnish the following information:

Name	Position or Connection	Foreign Principal	Date Terminated

6. Have short form registration statements been previously filed by all of the persons named in Items 5(a) and 5(b) of the supplemental statement or submitted with this filing? Yes ☒ No ☐

If no, list names of persons who have not previously filed the required statement or are not submitting a short form with this filing.

No persons named in Items 5(a) and 5(b)

**II - FOREIGN PRINCIPAL**

7. Has the registrant's connection with any foreign principal(s) ended during this 6 month reporting period?

Yes ☐No ☒

If yes, furnish the following information:

Foreign Principal

Date of Termination

8. Has the registrant acquired any new foreign principal(s)<sup>2</sup> during this 6 month reporting period?

Yes ☒No ☐

If yes, furnish the following information:

Foreign Principal

Date Added

Southern Transitional Council (STC)

15 Nov 2019

9. In addition to those named in Items 7 and 8, if any, list the foreign principal(s) whom the registrant continued to represent during the 6 month reporting period.

Government of the Saharawi Arab Democratic Republic (SADR)

Mission of the Republic of the Marshall Islands (RMI) to the United Nations

Coordination of the Movements of the Azawad (CMA)

10. (a) Has the registrant filed Exhibits A and B for the newly acquired foreign principal(s), if any, listed in Item 8?

Exhibit A<sup>3</sup>Yes ☒No ☐N/A ☐Exhibit B<sup>4</sup>Yes ☒No ☐N/A ☐

If no, please file the required exhibit.

(b) Have there been any changes in the Exhibits A and B previously filed for any foreign principal(s) whom the registrant represented during this 6 month period?

Yes ☒No ☐

If yes, has the registrant filed an amendment to these exhibits?

Yes ☒No ☐

If no, please file the required amendment.

<sup>2</sup> The term "foreign principal" includes, in addition to those defined in Section 1(b) of the Act, an individual organization any of whose activities are directly or indirectly supervised, directed, controlled, financed, or subsidized in whole or in major part by a foreign government, foreign political party, foreign organization or foreign individual. (See Rule 100(a) (9)). A registrant who represents more than one foreign principal is required to list in the statements he files under the Act only those principals for whom he is not entitled to claim exemption under Section 3 of the Act. (See Rule 208.)

<sup>3</sup> The Exhibit A, which is filed on Form NSD-3, sets forth the information required to be disclosed concerning each foreign principal.

<sup>4</sup> The Exhibit B, which is filed on Form NSD-4, sets forth the information concerning the agreement or understanding between the registrant and the foreign principal.

**III - ACTIVITIES**

11. During this 6 month reporting period, has the registrant engaged in any activities for or rendered any services to any foreign principal named in Items 7, 8, or 9 of this statement? Yes ☒ No ☐

If yes, identify each foreign principal and describe in full detail all activities and services:

Foreign Principal	Activities/Services
Government of the Saharawi Arab Democratic Republic (SADR)	Diplomacy involving the SADR, including at the United Nations
Mission of the Republic of the Marshall Islands (RMI) to the United Nations	Diplomacy involving the RMI, including at the United Nations
Coordination of the Movements of the Azawad (CMA)	Diplomacy involving the CMA, including at the United Nations
Southern Transitional Council (STC)	Diplomacy involving the STC, including at the United Nations

12. During this 6 month reporting period, has the registrant, on behalf of any foreign principal, engaged in political activity<sup>5</sup> as defined below? Yes ☒ No ☐

If yes, identify each foreign principal and describe in full detail all such political activity, indicating, among other things, the relations, interests and policies sought to be influenced and the means employed to achieve this purpose. If the registrant arranged, sponsored or delivered speeches, lectures, social media, internet postings, or media broadcasts, give details as to dates, places of delivery, names of speakers and subject matter. The response must include, but not be limited to, activities involving lobbying, promotion, perception management, public relations, economic development, and preparation and dissemination of informational materials.

Set forth below a general description of the registrant's political activities.

Meeting with US officials have a two-fold purpose: (1) to discuss and understand US policy on matters affecting our clients; and (2) to brief those officials on initiatives of our clients.

Set forth below in the required detail the registrant's political activities.

Foreign Principal	Date	Contact	Method	Purpose
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13. In addition to the above described activities, if any, has the registrant engaged in activity or rendered any services on its own behalf which benefits the registrant's foreign principal(s)? Yes ☐ No ☒

If yes, describe fully.

Foreign Principal	Activities/Services
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<sup>5</sup> "Political activity," as defined in Section 1(o) of the Act, means any activity that the person engaging in believes will, or that the person intends to, in any way influence any agency or official of the Government of the United States or any section of the public within the United States with reference to formulating, adopting or changing the domestic or foreign policies of the United States or with reference to political or public interests, policies, or relations of a government of a foreign country or a foreign political party.

**IV - FINANCIAL INFORMATION****14. (a) RECEIPTS-MONIES**

During this 6 month reporting period, has the registrant received from any foreign principal named in Items 7, 8, or 9 of this statement, or from any other source, for or in the interests of any such foreign principal, any contributions, income or money either as compensation or otherwise? Yes ☒ No ☐

If no, please explain why no monies were received.

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies.<sup>6</sup>

Foreign Principal	Date Received	From Whom	Purpose	Amount	Subtotal
Please see Attachment B for a list of such monies.					

\$93,634.66

Total

**(b) RECEIPTS - FUNDRAISING CAMPAIGN**

During this 6 month reporting period, has the registrant received, as part of a fundraising campaign<sup>7</sup>, any money on behalf of any foreign principal named in Items 7, 8, or 9 of this statement? Yes ☐ No ☒

If yes, has the registrant previously filed an Exhibit D<sup>8</sup> to its registration? Yes ☐ No ☐

If yes, indicate the date the Exhibit D was filed. Date \_\_\_\_\_

If no, please file the required Exhibit D.

**(c) RECEIPTS-THINGS OF VALUE**

During this 6 month reporting period, has the registrant received any thing of value<sup>9</sup> other than money from any foreign principal named in Items 7, 8, or 9 of this statement, or from any other source, for or in the interests of any such foreign principal? Yes ☐ No ☒

If yes, furnish the following information:

Foreign Principal	Date Received	From Whom	Purpose	Thing of Value
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<sup>6, 7</sup> A registrant is required to file an Exhibit D if he/she collects or receives contributions, loans, moneys, or other things of value for a foreign principal, as part of a fundraising campaign. (See Rule 201(e)).

<sup>8</sup> An Exhibit D, for which no printed form is provided, sets forth an account of money collected or received as a result of a fundraising campaign and transmitted for a foreign principal.

<sup>9</sup> Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

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**15. (a) DISBURSEMENTS-MONIES**

During this 6 month reporting period, has the registrant disbursed or expended monies in connection with activity on behalf of any foreign principal named in Items 7, 8, or 9 of this statement or transmitted monies to any such foreign principal? Yes ☐ No ☒

If no, explain why no disbursements were made.

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies, including monies transmitted, if any, to each foreign principal.

Foreign Principal	Date	Recipient	Purpose	Amount	Subtotal
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Total

**(b) DISBURSEMENTS-THINGS OF VALUE**

During this 6 month reporting period, has the registrant disposed of anything of value<sup>10</sup> other than money in furtherance of or in connection with activities on behalf of any foreign principal named in Items 7, 8, or 9 of this statement?

Yes ☐No ☒

If yes, furnish the following information:

Foreign Principal	Date	Recipient	Purpose	Thing of Value
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**(c) DISBURSEMENTS-POLITICAL CONTRIBUTIONS**

During this 6 month reporting period, has the registrant (or any short form registrant) from its own funds and on its own behalf either directly or through any other person, made any contributions of money or other things of value<sup>11</sup> in connection with an election to any political office, or in connection with any primary election, convention, or caucus held to select candidates for political office?

Yes ☐No ☒

If yes, furnish the following information:

Date	Donor	Political Organization/Candidate	Method	Amount/ Thing of Value
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10, 11 Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.



**V - INFORMATIONAL MATERIALS**

16. (a) During this 6 month reporting period, has the registrant prepared, disseminated or caused to be disseminated any informational materials?<sup>12</sup> Yes ☐ No ☒

(b) If yes, identify each such foreign principal(s) for which informational materials were disseminated.

17. During this 6 month reporting period, has a budget been established or specified sum of money allocated to finance the registrant's activities in preparing or disseminating informational materials? Yes ☐ No ☒

If yes, identify each such foreign principal, and specify the period of time and the amount.

Foreign Principal	Period of Time	Amount
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18. During this 6 month reporting period, has the registrant's activities in preparing, disseminating or causing the dissemination of informational materials include the use of any of the following:

- |  |   |   |  |
|--|---|---|--|
| <input type="checkbox"/> Magazine or newspaper | <input type="checkbox"/> Advertising campaigns  | <input type="checkbox"/> Press releases       | <input type="checkbox"/> Pamphlets or other publications |
| <input type="checkbox"/> Lectures or speeches  | <input type="checkbox"/> Radio or TV broadcasts | <input type="checkbox"/> Motion picture films | <input type="checkbox"/> Letters or telegrams            |

☒ Email

☒ Website URL(s):

☒ Social media websites URL(s): Twitter: @IDiplomat / Facebook: facebook.com/independentdiplomat

☒ Other (specify) Periodic newsletters on which the statement required by Section 4(b) of the ACT is placed.

19. During this 6 month reporting period, has the registrant prepared, disseminated, or caused to be disseminated informational materials among any of the following groups:

- |  |   |   |
|--|---|---|
| <input type="checkbox"/> Public officials      | <input type="checkbox"/> Newspapers                   | <input type="checkbox"/> Libraries                |
| <input type="checkbox"/> Legislators           | <input type="checkbox"/> Editors                      | <input type="checkbox"/> Educational institutions |
| <input type="checkbox"/> Government agencies   | <input type="checkbox"/> Civic groups or associations | <input type="checkbox"/> Nationality groups       |
| <input type="checkbox"/> Other (specify) _____ |   |   |

20. Indicate the language used in the informational materials:

☒ English

☐ Other (specify) \_\_\_\_\_

21. Has the registrant filed with the FARA Unit, U.S. Department of Justice a copy of each item of such informational materials prepared, disseminated or caused to be disseminated during this 6 month reporting period? Yes ☐ No ☐

If no, please file the required informational materials.

22. Has the registrant labeled each item of such informational materials with the statement required by Section 4(b) of the Act?

Yes ☒

No ☐

12 The term informational materials includes any oral, visual, graphic, written, or pictorial information or matter of any kind, including that published by means of advertising, books, periodicals, newspapers, lectures, broadcasts, motion pictures, or any means or instrumentality of interstate or foreign commerce or otherwise. Informational materials disseminated by an agent of a foreign principal as part of an activity in itself exempt from registration, or an activity which by itself would not require registration, need not be filed pursuant to Section 4(b) of the Act.



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**VI - EXECUTION**

In accordance with 28 U.S.C. § 1746, and subject to the penalties of 18 U.S.C. § 1001 and 22 U.S.C. § 618, the undersigned swears or affirms under penalty of perjury that he/she has read the information set forth in this Supplemental Statement, that he/she is familiar with the contents thereof, and that such contents are in their entirety true and accurate to the best of his/her knowledge and belief.

Date	Printed Name	Signature <sup>13</sup>	eSigned
May 29, 2020	Nicholas P Scott	/s/ Nicholas P Scott	

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<sup>13</sup> This statement shall be signed by the individual agent, if the registrant is an individual, or by a majority of those partners, officers, directors or persons performing similar functions, if the registrant is an organization, except that the organization can, by power of attorney, authorize one or more individuals to execute this statement on its behalf.

## Attachment A

**ID: Chart of FARA-related activity**

1 Nov 2019 – 30 Apr 2020

<b>Date of Contact</b>	<b>Name and Title of:</b> - US Gov't official - media outlet - business, civic group, academic community, etc.	<b>Foreign Principal</b>	<b>How Contact Established</b> (telephone, office meeting, letter, lunch etc.)	<b>Subject Matter Discussed</b>
29 April 2020	Event: Virtual Briefing on South Yemen to International NGOs	STC	Event	ID's work with the Southern Transitional Council
27 April 2020	Jane Arraf, Reporter, NPR News	STC	Email	ID's work with the Southern Transitional Council
20 April 2020	Rachel Smith-Levy, Political Officer, US Mission to the UN	Government of the Sahrawi Arab Democratic Republic	Call	Western Sahara
14 April 2020	Peter Billerbeck, Policy Analyst, House Foreign Affairs Committee	STC	Email	ID's work with the Southern Transitional Council
14 April 2020	Rachel Smith-Levy, Political Officer, US Mission to the UN	Government of the Sahrawi Arab Democratic Republic	Call	Western Sahara
10 April 2020	Rachel Smith-Levy, Political Officer, US Mission to the UN	Government of the Sahrawi Arab	Email	Setting up meeting

		Democratic Republic			
27 February 2020	Rachel Smith-Levy, Political Officer, US Mission to the UN	Government of the Sahrawi Arab Democratic Republic	Meeting	Setting up a meeting	
26 February 2020	Rachel Smith-Levy, Political Officer, US Mission to the UN	Government of the Sahrawi Arab Democratic Republic	Email	Western Sahara	
11 February 2020	Alex Vasiloff, Desk Officer Algeria, State Department	Government of the Sahrawi Arab Democratic Republic	Phone call	Next steps in the political process on Western Sahara	
10 February 2020	Alex Vasiloff, Desk Officer Algeria, State Department Daniel Newman, Desk Officer Morocco, State Department	Government of the Sahrawi Arab Democratic Republic	Email	Setting up a phone call	
13 November 2019	Rachel Smith-Levy, Political Officer, US Mission to the UN	Government of the Sahrawi Arab Democratic Republic	Meeting	Western Sahara	
13 Nov 2019 8 Nov 2019 6 Nov 2019	Rachel Smith-Levy, Political Officer, US Mission to the UN	Government of the Sahrawi Arab Democratic Republic	Emails	Setting up meeting	
25 Nov 2019 to 11 March 2020	Phillip Gatins, policy officer, US Embassy Bamako (Mali)	Coordination of Azawad Movements	Series of E-mails	Series of emails about a proposed visit by CMA leadership to NY (UN HQ)	

		(CMA)			and D.C. (visit cancelled in the end due to COVID).

## Independent Diplomat Inc.

## Deposit Detail

Registration Number 5860

## Supplemental Filing

## Part IV Financial Information, #14(a)

Period - November 1, 2019 to April 30, 2020

<u>Date</u>	<u>Received From</u>	<u>Purpose</u>	<u>Amount</u>
1-Nov-19	Southern Transitional Council*	Southern Transitional Council	\$ 3,700.05
1-Dec-19	Southern Transitional Council*	Southern Transitional Council	\$ 3,873.97
11-Dec-19	Southern Transitional Council*	Southern Transitional Council	\$ 5,571.65
11-Dec-19	Southern Transitional Council*	Southern Transitional Council	\$ 5,571.65
11-Dec-19	Southern Transitional Council*	Southern Transitional Council	\$ 5,571.65
31-Dec-19	Southern Transitional Council*	Southern Transitional Council	\$ 3,351.75
17-Jan-20	Southern Transitional Council	Southern Transitional Council	\$ 19,832.02
13-Feb-20	Government of the Saharawi Arab Democratic Republic	Government of the Saharawi Arab Democratic Republic	\$ 9,975.00
21-Feb-20	Southern Transitional Council	Southern Transitional Council	\$ 20,000.17
6-Mar-20	European Climate Foundation	Republic of the Marshall Islands	\$ 12,835.00
10-Mar-20	Southern Transitional Council*	Southern Transitional Council	\$ 3,351.75
			<u><u>\$93,634.66</u></u>

\*Payments received from STC UK and EU offices for Europe-based programming